

# Convexity of Hypersurfaces in Spherical Spaces

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## Abstract

A spherical set is called convex if for every pair of its points there is at least one minimal geodesic segment that joins these points and lies in the set. We prove that for  $n \geq 3$  a complete locally-convex (topological) immersion of a connected  $(n-1)$ -manifold into the  $n$ -sphere is a surjection onto the boundary of a convex set.

Keywords: convexity, immersion,  $C^0$ , complete, proper, locally-convex

MSC: 53C45 Global surface theory (convex surfaces à la A. D. Aleksandrov)

## 1 Introduction

Van Heijenoort (1952) proved that a complete locally-convex immersion  $f$  of a connected manifold  $\mathcal{M}$  ( $\dim \mathcal{M} = n - 1$ ) into  $\mathbb{R}^n$  ( $n \geq 3$ ) is a homeomorphism onto the boundary of a convex body, provided  $f$  has a point of strict convexity. For  $n = 3$  this result, according to Van Heijenoort himself, follows from four theorems in A.D. Alexandrov's book (1948).

Suppose now  $f : \mathcal{M} \rightarrow \mathbb{R}^n$  does not have a point of strict convexity. Jonker & Norman (1973) proved that when  $f : \mathcal{M} \rightarrow \mathbb{R}^n$  is *not* a homeomorphism onto the boundary of a convex body,  $f(\mathcal{M})$  is the direct affine product of a locally-convex plane curve and a complementary subspace  $L \cong \mathbb{R}^{n-2}$  of  $\mathbb{R}^n$ . On the other hand, if  $f$  is still a homeomorphism onto the boundary of a convex body, they showed that  $f(\mathcal{M})$  is the direct product of a compact convex hypersurface in a  $(g+1)$ -subspace ( $g \geq 0$ ) and a complementary subspace  $L \cong \mathbb{R}^{n-g-1}$  of  $\mathbb{R}^n$ .

The question of sufficient conditions for convexity of a hypersurface in  $\mathbb{S}^n$  naturally appears in the important computational geometry problem of checking convexity of a PL-hypersurface in Euclidean space (e.g. see Rybnikov, 200X). To observe this connection just notice that the shape of a PL-hypersurface (in  $\mathbb{R}^n$ ) at a vertex  $v$  is described by a hypersurface in  $\mathbb{S}^{n-1}$  obtained by intersecting the star of the vertex with a small sphere centered at  $v$ . Convexity checkers for surfaces in  $\mathbb{R}^2$  and  $\mathbb{R}^3$  have been implemented in the LEDA system

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for computational geometry and graph theory (Mehlhorn & Näher, 2000). We show that for locally-convex immersions into a sphere of dimension  $n \geq 3$  the absence of points of strict convexity cannot result in the loss of global convexity, as it happens in the Euclidean case:

**Theorem 1** *Let  $i : \mathcal{M} \rightarrow \mathbb{S}^n$  ( $n \geq 3$ ) be a complete locally-convex immersion of a connected  $(n-1)$ -manifold  $\mathcal{M}$ . Then  $i(\mathcal{M}) = \mathbb{S}^n \cap \partial K$ , where  $K$  is a convex cone in  $\mathbb{R}^{n+1}$  containing the origin.*

The proof of this theorem relies on the result of Jonker & Norman, although their theorem does not directly imply ours. One of the difficulties is that a compact convex set on the sphere may be free of extreme points! We can observe the following “tradeoff” between convexity and bijectivity requirements for complete hypersurfaces without boundary immersed in  $\mathbb{X}^n$ , where  $\mathbb{X}^n$  is one of  $\mathbb{R}^n$ ,  $\mathbb{S}^n$ ,  $\mathbb{H}^n$  for  $n \geq 3$ . In Euclidean space a locally-convex hypersurface may fail to be convex, but if it is convex, the surface is always embedded. In the spherical space a locally-convex hypersurface always bounds a convex body, but the surface need not be embedded. It is worth noting that in the hyperbolic space a locally-convex hypersurface need not be neither convex, nor embedded.

## 2 Notions and notation

From now on  $\mathbb{X}$  (or  $\mathbb{X}^n$ ) denotes  $\mathbb{R}^n$  or  $\mathbb{S}^n$ , where  $n \in \mathbb{N} = \{0, 1, \dots\}$ . All maps are continuous.

**Definition 2** *A surface in  $\mathbb{X}$  is a pair  $(\mathcal{M}, r)$  where  $\mathcal{M}$  is a manifold, with or without boundary, and  $r : \mathcal{M} \rightarrow \mathbb{X}$  is a continuous map, hereafter referred to as the realization map.*

Let  $\dim \mathcal{M} + 1 = \dim \mathbb{X}$ . Then  $(\mathcal{M}, r)$  is called *locally convex* at  $p \in \mathcal{M}$  if we can find a neighborhood of  $p$ ,  $\mathcal{N}_p \subset \mathcal{M}$ , and a convex body  $K_p \subset \mathbb{X}$  for  $p$  such that  $r|_{\mathcal{N}_p} : \mathcal{N}_p \rightarrow r(\mathcal{N}_p)$  is a homeomorphism and  $r(\mathcal{N}_p) \subset \partial K_p$ . This definition was introduced by Van Heijenoort. A.D. Alexandrov’s (1948) concept of local convexity, although restricted to more specific classes of surfaces, is essentially equivalent to Van Heijenoort’s one. We refer to  $K_p$  as a convex witness for  $p$ . (Here, as everywhere else, the subscript indicates that  $K_p$  depends on  $p$  in some way but is not necessarily determined by  $p$  uniquely.) Thus, the local convexity at  $\mathbf{p} = r(p)$  may fail because  $r$  is not a local homeomorphism at  $p$  or because no neighborhood  $\mathcal{N}_p$  is mapped by  $r$  into the boundary of a convex body, or for both of these reasons. In the first case we say that the immersion assumption is violated, while in the second case we say that the convexity is violated. Often, when it is clear from the context that we are discussing the properties of  $r$  near  $\mathbf{p} = r(p)$ , we say that  $r$  is convex at  $\mathbf{p}$ . If  $K_p$  can be chosen so that  $K_p \setminus r(p)$  lies in an open half-space defined by some hyperplane passing through  $r(p)$ , the realization  $r$  is called *strictly convex* at  $p$ . We will also sometimes refer to  $(\mathcal{M}, r)$  as strictly convex at  $r(p)$ . We will often apply local techniques of Euclidean convex geometry to  $\mathbb{S}^n$  without restating them explicitly for the spherical case.

The relative boundary  $\text{rel } \partial C$  of a convex set  $C$  is a manifold. In the above definition of local convexity convex bodies can be replaced with convex sets and boundaries of convex bodies with relative boundaries of convex sets. Such a modified definition, which is equivalent to the traditional one in the context of our paper, has the following advantage. Without specifying dimensions of  $\mathcal{M}$  and  $\mathbb{X}$ , we can say that  $r : \mathcal{M} \rightarrow \mathbb{X}$  is locally convex at  $x \in \mathcal{M}$  if there is a neighborhood  $\mathcal{N}_x$  such that  $r|_{\mathcal{N}_x}$  is a homeomorphism onto a neighborhood of  $r(x)$  in  $\text{rel } \partial K_x$ , where  $K_x$  is a convex set in  $\mathbb{X}$ . Such a relativized definition would make notation and formulations more concise and aesthetically pleasing; however, to be consistent with previous works on the subject, we follow the traditional definition.

To avoid a common confusion caused by (at least three) different usages of **closed** in English texts on the geometry-in-the-large, we use this word for closed subsets of topological spaces only. We will not use the term “closed surface” at all; a closed submanifold stands for a submanifold which happens to be a closed subset in the ambient manifold. Whenever we want to include manifolds with boundary into our considerations we explicitly say so.

A map  $i : \mathcal{M} \rightarrow \mathbb{X}$  is called an *immersion* if  $i$  is a local homeomorphism; in such a case we also refer to  $(\mathcal{M}, i)$  as a surface immersed into  $\mathbb{X}$ . This is a common definition of immersion in the context of non-smooth geometry in the large (e.g. see Van Heijenoort, 1952); a more restrictive definition is used in differential geometry and topology, furthermore, some authors define an immersion as a continuous local bijection. Although the latter definition is not, in general, equivalent to the common one, it is equivalent to the common one in the context of the theorems stated in this paper. A map  $e : \mathcal{M} \rightarrow \mathbb{X}$  is called an *embedding* if  $e$  is a homeomorphism onto  $e(\mathcal{M})$ . Obviously, an embedding is an immersion, but not vice versa.

A set  $K \subset \mathbb{X}$  is called *convex* if for any  $x, y \in K$  there is a geodesic segment of minimal length with end-points  $x$  and  $y$  that lies in  $K$ . Right away we conclude that the empty set and all one point sets are convex. A *convex body* in  $\mathbb{X}$  is a closed convex set of full dimension; *a convex body may be unbounded*. Let  $\dim \mathcal{M} + 1 = \dim \mathbb{X}$ . Then  $(\mathcal{M}, r)$  is called *locally convex at*  $p \in \mathcal{M}$  if we can find a neighborhood  $\mathcal{N}_p \subset \mathcal{M}$  of  $p$  and a convex body  $K_p \subset \mathbb{X}$  such that  $r|_{\mathcal{N}_p} : \mathcal{N}_p \rightarrow r(\mathcal{N}_p)$  is a homeomorphism and  $r(\mathcal{N}_p) \subset \partial K_p$ . In such a case we refer to  $K_p$  as a convex witness for  $p$ . (Here, as everywhere else, the subscript indicates that  $K_p$  depends on  $p$  in some way but is not necessarily determined by  $p$  uniquely.) Thus, the local convexity at  $\mathbf{p} = r(p)$  may fail because  $r$  is not a local homeomorphism at  $p$  or because no neighborhood  $\mathcal{N}_p$  is mapped by  $r$  into the boundary of a convex body, or for both of these reasons. In the first case we say that the immersion assumption is violated, while in the second case we say that the convexity is violated. Often, when it is clear from the context that we are discussing the properties of  $r$  near  $\mathbf{p} = r(p)$ , we say that  $r$  is convex at  $\mathbf{p}$ . If  $K_p$  can be chosen so that  $K_p \setminus r(p)$  lies in an open half-space defined by some hyperplane passing through  $r(p)$ , the realization  $r$  is called *strictly convex at*  $p$ . We will also sometimes refer to  $(\mathcal{M}, r)$  as strictly convex at  $r(p)$ . We will often apply local techniques of Euclidean convex geometry to  $\mathbb{S}^n$  without restating them explicitly for the spherical case.

We gave a metric definition of convexity in  $\mathbb{S}^n$ . One can argue that convexity is intrinsically affine notion. In differential geometry the geodesic property can be defined locally via the notion of affine connection  $\delta$ . A (directed) geodesic segment is then defined as a smooth curve  $\gamma$  from  $x$  to  $x'$  such that

$$\nabla_{\dot{\gamma}(t)} \dot{\gamma}(t) = 0 \text{ for all } t \in [0, 1],$$

and the curve is minimal with respect to containment, i.e.  $x$  and  $x'$  occur only as the source and the of this curve. Since there is no metric in a space with affine connection, it is meaningless to talk about the shortest geodesics. Under this approach a convex set is a set where every two points can be connected by a geodesic segment lying in the set. From this prospective the segment obtained by going *clockwise* from 0 to  $\pi/2$  on  $\mathbb{S}^1$  is just as good as the segment  $[0, \pi/2]$ . The concept of locally-convex hypersurface is free of concepts of distance and orientation: e.g. we do not say from which side the convex witness abuts the surface. The fact that a locally-convex hypersurface in  $\mathbb{R}^n$  is orientable is a *consequence* of local convexity.

Certain general convexity results can be proven in the context of a manifold with affine connection, and even in more general spaces, that include surfaces of (not necessarily convex) polyhedra and ball-polyhedra in  $\mathbb{R}^n$ . For example, Klee's theorem that the boundary of a convex set is a disjoint union of convex faces of various dimensions (e.g. Rockafellar, 1997, p. 164) can be proven for locally-convex hypersurfaces in very general spaces with geodesics (Rybnikov, 2005). Here by a *space with geodesics* we mean a much more general space than spaces under the same name considered by Busemann and Gromov (their notions are metric – see e.g. Berger, 2003, pp. 678-680). The notion of geodesic and convexity in such a space should be defined locally in a sheaf-theoretic fashion. We will not give any formal definitions here, but only indicate, informally, that such spaces are, although being  $C^0$  “in the worst case” are essentially piecewise-analytic geometries which are nice enough to avoid bizarre topological behavior. The philosophy here is that any good definition must be, in an appropriate sense, of local nature. Hence, the requirement that a geodesic segment must be globally of minimal length seems premature in the general study of geometric convexity. We will now show that for  $\mathbb{S}^n$  the affine definition of convexity can be used in the context of the theory of locally-convex hypersurfaces considered in this paper. A set  $S$  in  $\mathbb{S}^n$  is called *A-convex* if for any  $x, x' \in S$  there is a geodesic segment (in the sense of affine convexity – see above) that joins  $x$  and  $x'$  and lies in  $S$ . Below we consider  $\mathbb{S}^n$  centered at  $\mathbf{0}$  and embedded into  $\mathbb{R}^{n+1}$ .  $\text{cone}(S)$  stands for  $\{\mathbb{R}_+ x \mid x \in S\}$ .

**Lemma 3** *If  $S \subset \mathbb{S}^n$  is convex and does not belong to any subspace of dimension less than  $n$ , then  $\overline{\mathbb{S}^n \setminus S}$  is A-convex.*

**Proof.** Let  $x, x' \in \overline{\mathbb{S}^n \setminus S}$ . Consider  $K = \text{aff}\{\mathbf{0}, x, x'\} \cap \text{cone}(S)$ . Since both of these sets are convex cones with common apex, their intersection  $K$  is also a convex cone with apex at  $\mathbf{0}$ .

If  $K$  is a linear subspace of  $\mathbb{R}^{n+1}$ , then there is supporting hyperplane  $H$  through  $\text{aff}\{\mathbf{0}, x, x'\}$  for  $\text{cone}(S)$ . In this case  $\text{cone}(S)$  lies in one of the closed halfspaces defined by  $H$ . Thus  $H \cap \mathbb{S}^n \subset \overline{\mathbb{S}^n \setminus S}$ , which means that any arc of a great circle which passes through  $x$  and  $x'$  and lies in  $H$  belongs to  $\overline{\mathbb{S}^n \setminus S}$ .

If  $K$  is not a subspace of  $\mathbb{R}^{n+1}$ , then one of the arcs of  $\text{aff } K \cap \mathbb{S}^n$  with endpoints  $x$  and  $x'$  lies outside of  $S$ . ■ Let us recall (see e.g. Rockafellar, 1997) that a point  $\mathbf{p}$  on the boundary of a convex set  $C$  is called *exposed* if  $C$  has a support hyperplane that intersects  $\overline{C}$ , the closure of  $C$ , only at  $\mathbf{p}$ . Thus, an *exposed* point on a convex body  $K$  is a *point of strict convexity* on the hypersurface  $\partial K$ . Conversely, for a point of strict convexity  $p \in \mathcal{M}$  for  $(\mathcal{M}, r)$  the image  $i(p)$  is an exposed point of any convex witness for  $p$ . Local convexity can be defined in many other, non-equivalent, ways (e.g., see van Heijenoort).

A hypersurface  $(\mathcal{M}, r)$  is (globally) *convex* if there exists a convex body  $K \subset \mathbb{X}^n$  such that  $r$  is a homeomorphism onto  $\partial K$ . Hence, we exclude the cases where  $r(\mathcal{M})$  is the boundary of a convex body, but  $r$  fails to be injective. Of course, the algorithmic and topological aspects of this case may be interesting to certain areas of geometry, e.g. origami.

### 3 Geometry of locally-convex immersions

Recall that a path joining points  $x$  and  $y$  in a topological space  $\mathcal{T}$  is a map  $\alpha : [0, 1] \rightarrow \mathcal{T}$ , where  $\alpha(0) = x$  and  $\alpha(1) = y$ . Denote by  $\text{Paths}_{\mathcal{M}}(x, y)$  the set of all paths joining  $x, y \in \mathcal{M}$ .

Any realization  $r : \mathcal{M} \rightarrow \mathbb{X}^n$  induces a distance  $d_r$  on  $\mathcal{M}$  by

$$d_r(x, y) = \inf_{\alpha \in \text{Paths}_{\mathcal{M}}(x, y)} |r(\alpha)|,$$

where  $|r(\alpha)| \in \mathbb{R}_+ \cup \infty$  stands for the length of the  $r$ -image of the path  $\alpha$  joining  $x$  and  $y$  on  $\mathcal{M}$  (we call it the  $r$ -distance, because it is not always a metric).

Of course, for a general realization  $r$  it is not clear *a priori* that there is a path of finite length on  $r(\mathcal{M})$  joining  $r(x)$  and  $r(y)$ , even when  $x$  and  $y$  are in the same connected component. The notion of *complete* realization is essential to the correctness of van Heijenoort's theorem. A realization  $r : \mathcal{M} \rightarrow \mathbb{X}$  is called *complete* if every Cauchy sequence on  $\mathcal{M}$  (with respect to the distance induced by  $r$  on  $\mathcal{M}$ ) converges. Completeness is a rather subtle notion: a space may be complete under a metric  $d$  and not complete under another metric  $d_1$ , which is topologically equivalent to  $d$  (i.e.  $x_n \xrightarrow{d} a$  iff  $x_n \xrightarrow{d_1} a$ ).

A realization is called *proper* if the preimage of every compact set is compact. A proper realization is always closed. For any given natural class of realizations (e.g. PL-surfaces, semialgebraic surfaces, etc) it is usually much easier to check for properness than for completeness. Furthermore, the notion of properness is topological, while that of completeness is metrical. Note that in some sources, such as the paper by (Burago and Shefel, 1992), completeness with respect to the  $r$ -metric is called *intrinsic completeness*, while properness is referred to as *extrinsic completeness*. The following is well-known for immersions (see e.g.

Burago and Shefel, p. 50), but is also true for arbitrary proper realizations. The proof given here was suggested by Frank Morgan.

**Lemma 4** *A proper realization  $r$  of any manifold  $\mathcal{M}$  in  $\mathbb{X}$  is complete.*

**Proof.** Let  $\{x_n\} \subset \mathcal{M}$  be Cauchy. Then  $\{r(x_n)\}$  is also Cauchy in the  $r$ -distance and, therefore, in the intrinsic distance of  $\mathbb{X}$  as well. Since  $\mathbb{X}$  is complete,  $\{r(x_n)\}$  converges to some point  $y$  of  $\mathbb{X}$ . Since  $r(\mathcal{M})$  is closed,  $y \in r(\mathcal{M})$ .

For any  $k \in \mathbb{N}$  there is  $j(k)$  such that for any  $i \geq j(k)$  we have  $d_r(x_i, x_{i+1}) < \frac{1}{2^k}$ . Note that in this case  $\sum_{k \in \mathbb{N}} d_r(x_{j(k)}, x_{j(k+1)})$  converges. As  $\{r(x_n)\}$  is convergent, it lies in some compact set  $S \subset \mathbb{X}$ . Since  $r$  is proper,  $r^{-1}S$  is compact. Thus,  $x_n$  have an accumulation point  $x$ . As  $r$  is continuous and  $r(x_n) \rightarrow y$  in  $\mathbb{X}$ ,  $r(x) = y$ .

Let us show that  $x_{j(k)}$  converges to  $x$  in the  $r$ -distance. For each  $k$  there is a path  $p_k$  of length less than  $\frac{1}{2^k}$  (in the  $r$ -distance) from  $x_{j(k)}$  to  $x_{j(k+1)}$ . For each  $k$  we can form a path  $\alpha_k$  with source  $x_{j(k)}$  by concatenating  $p_i, p_{i+1}, \dots$ , etc, for all  $i \geq k$ . Since  $\{x_{j(k)}\}$  converges to  $x$ ,  $\alpha_k$  is a path from  $x_{j(k)}$  to  $x$ . Since  $\sum_{k \in \mathbb{N}} d_r(x_{j(k)}, x_{j(k+1)})$  converges, it is a path of finite length. Thus,  $\{x_{j(k)}\}$  converges to  $x$  in the  $r$ -distance. Since a subsequence of  $\{x_n\}$  converges to  $x$  in the  $r$ -distance,  $\{x_n\}$  also converges to  $x$  in the  $r$ -distance. ■

The reverse implication is true for locally-convex immersions, but not, for example, for saddle surfaces (e.g. see Burago and Shefel, p. 50):

**Lemma 5** (Van Heijenoort) *A complete locally-convex immersion of a connected  $(n-1)$ -manifold into  $\mathbb{X}^n$  is proper.*

**Lemma 6** (Van Heijenoort, 1952; pp. 227-228) *Let  $f : \mathcal{M} \rightarrow \mathbb{X}^n$  be a complete locally-convex immersion of an  $(n-1)$ -manifold  $\mathcal{M}$ . Then any two points in the same connected component of  $\mathcal{M}$  can be connected by an arc of finite length. The topology on  $\mathcal{M}$  defined by the  $f$ -distance is equivalent to the intrinsic (original) topology on  $\mathcal{M}$ .*

Van Heijenoort's proofs of Lemmas 6 and 5 given in the original for  $\mathbb{R}^n$  are valid, word by word, for  $\mathbb{S}^n$  and  $\mathbb{H}^n$ , since these lemmas are entirely of local nature. If  $f$  is a locally-convex immersion, then for a "sufficiently small" subset  $\mathcal{S}$  of  $\mathcal{M}$  the map  $f|_{\mathcal{S}}$  is a homeomorphism and, therefore, the topology on  $\mathcal{S}$  that is induced by the metric topology of  $\mathbb{X}^n$  is equivalent to the intrinsic topology of  $\mathcal{S}$  and, thanks to Lemma 6, to the  $f$ -distance topology. Thus, when  $f$  is a complete locally-convex immersion, then for sufficiently small subsets of  $\mathcal{M}$  (but not  $i(\mathcal{M})$ !) the three topologies considered in this section are equivalent – this fact will be used throughout the text without an explicit reference to the above lemmas. The following is our starting point.

**Theorem 7** (Van Heijenoort, 1952) *If a complete locally-convex immersion  $f$  of a connected  $(n-1)$ -manifold  $\mathcal{M}$  into  $\mathbb{R}^n$  ( $n \geq 3$ ) has a point of strict convexity, then  $f$  is a homeomorphism onto the boundary of a convex body.*

There is no need to check the existence of a point of strictly convexity in the compact case.

**Lemma 8** *If  $f : \mathcal{M} \rightarrow \mathbb{R}^n$  is a locally-convex immersion of a compact connected  $(n - 1)$ -manifold  $\mathcal{M}$ , then  $f$  has a point of strict convexity.*

**Proof.** As  $\mathcal{M}$  is compact and  $f$  is an immersion,  $\text{conv } f(\mathcal{M})$  is a compact subset of  $\mathbb{R}^n$ . Since  $\text{conv } f(\mathcal{M})$  is compact, it is also bounded and, in particular, does not contain lines. Any non-empty convex set, which is free of lines, has a non-empty set of extreme points (a point on the boundary of a convex set is extreme if it is not interior to any line segment contained in set's boundary). Thus  $\partial \text{conv } f(\mathcal{M})$  contains an *extreme* point. Straszewicz's theorem (e.g. Rockafellar, 1997, p. 167) states that the *exposed* points of a closed convex set form a dense subset of *extreme* points of this set. Thus,  $\text{conv } f(\mathcal{M})$  has an exposed point. Since an exposed point  $y$  cannot be written as a *strict convex combination* of other points of the set,  $y$  must lie in  $f(\mathcal{M})$ . Let  $x$  be a point from  $f^{-1}(y)$ . Since  $f$  is locally-convex at  $x$  and there exists a hyperplane  $H$  through  $y$  that has empty intersection with  $f(\mathcal{M}) \setminus y$ , we conclude that the map  $f$  is strictly locally-convex at  $x$ . ■

Hereafter  $i : \mathcal{M} \rightarrow \mathbb{X}^n$  stands for a locally-convex complete immersion of a connected  $(n - 1)$ -manifold  $\mathcal{M}$  into  $\mathbb{X}^n$ , and  $M$  denotes  $i(\mathcal{M})$ . If  $\mathcal{U} \subset \mathcal{M}$  we often use  $i|_{\mathcal{U}} : \mathcal{U} \rightarrow \mathbb{X}^n$  for the restriction of  $i$  to  $\mathcal{U}$ . While discussing immersions, it is important to remember that they need not be injective; for example, we do not really consider, say, a line  $L$  on the surface  $M$  (as a set), but rather the map  $i : \mathcal{L} \rightarrow L$ , where  $\mathcal{L}$  is 1-submanifold of  $\mathcal{M}$  and  $L = i(\mathcal{L})$ . The same philosophy applies in the case of any geometric subobjects of  $i : \mathcal{M} \rightarrow \mathbb{X}^n$ . In the case of points we use the shorthand  $i(x)$  instead of more proper  $i : x \rightarrow i(x)$ . Furthermore, when for some  $\mathbf{p} \in M$  it is absolutely clear from the context as to which point  $x$  of  $i^{-1}\mathbf{p}$  is considered, we may refer to  $i : x \rightarrow i(x) = \mathbf{p}$  simply as “point  $\mathbf{p}$ ”.

By a *subspace* of  $\mathbb{X}^n$  we mean an *affine* subspace (i.e. a subspace defined by a system  $A\mathbf{x} = \mathbf{b}$ ) in the case of  $\mathbb{R}^n$ , and the intersection of  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  with a *linear* subspace of  $\mathbb{R}^{n+1}$  in the case of  $\mathbb{S}^n$ . A *line* in  $\mathbb{X}^n$  is a maximal geodesic curve; a *plane* in  $\mathbb{X}^n$  is a subspace of dimension 2; a *hyperplane* is a subspace of  $\mathbb{X}^n$  of codimension one. We will often use *k-subspace* (or *k-plane*) instead of *k-dimensional subspace* – the same convention applies to *k-submanifolds*, etc. Two subspaces of  $\mathbb{X}^n$  are called *complimentary* if the sum of their dimensions is  $n$  and the dimension of their intersection is 0 ( $\dim \emptyset = -1$ ). For a subspace  $S \subset \mathbb{R}^n$  we use  $\overrightarrow{S}$  to denote the linear space  $S - S$ .

For any  $S \subset \mathbb{X}^n$  we denote by  $\dim S$  the dimension of a minimal subspace containing  $S$ , which is denoted by  $\text{aff } S$  when it is unique. The dimension operator  $\dim$  has the meaning of topological dimension when applied to subsets of an abstract manifold, like  $\mathcal{M}$ , and that of affine dimension when applied to subsets of  $\mathbb{X}^n$  (i.e. for  $S \subset \mathbb{X}^n$  we have  $\dim S \triangleq \dim \text{aff } S$ ).

**Theorem 9 (Jonker-Norman)** *Let  $i : \mathcal{M} \rightarrow \mathbb{R}^n$  ( $n \geq 3$ ) be a complete locally-convex immersion of a connected  $(n - 1)$ -manifold. Then for any  $x \in \mathcal{M}$  there is a unique submanifold  $\mathcal{D}$  through  $x$  such that*

- 1)  $i(\mathcal{D}) = \text{aff } i(\mathcal{D})$ ,
- 2)  $i|_{\mathcal{D}}$  is a homeomorphism,
- 3)  $\mathcal{D}$  is maximal with respect to 1) and 2).

Furthermore, for any hyperplane  $H$  through  $x$ , which is complementary to  $\text{aff } \mathcal{D}$  the set  $\mathcal{G} \triangleq i^{-1}(\mathcal{M} \cap H)$  is a submanifold of  $\mathcal{M}$  such that

- a)  $\mathcal{M} = \mathcal{D} \times_{\text{Top}} \mathcal{G}$ ,
- b)  $i|_{\mathcal{G}}$  is a locally-convex immersion into  $\text{aff}(\mathcal{M} \cap H)$  with at least one point of strict convexity,
- c) if  $\mathcal{D}'$  and  $\mathcal{G}'$  are to  $x' \in \mathcal{M}$  what  $\mathcal{D}$  and  $\mathcal{G}$  are to  $x$ , then  $\mathcal{D}' \cong_{\text{Top}} \mathcal{D}$ ,  $\mathcal{G}' \cong_{\text{Top}} \mathcal{G}$ , and  $i(\mathcal{G})$  is equivalent to  $i(\mathcal{G}')$  under the action of affine automorphisms of  $i(\mathcal{M})$  that map  $i(\mathcal{D})$  to itself.

Finally, if  $i$  is not a convex embedding, then  $\dim \mathcal{G} = 1$ .

The theorem of Jonker and Norman generalizes Van Heijenoort's theorem by characterizing the case of non-convex locally-convex (complete and connected) immersions. Any such immersion is an immersion onto the product of a locally-convex, but not convex, plane curve and a complimentary affine subspace. Whenever we have a map  $i$  that satisfies Jonker-Norman's theorem we will talk about the *locally-convex direct decomposition* of  $i$ ; we may also say that the immersion  $i$  splits into the *locally-convex direct product* of  $i : \mathcal{D} \rightarrow D$  and  $i : \mathcal{G} \rightarrow G$ . When  $i(\mathcal{G})$  is chosen to be perpendicular to  $i(\mathcal{D})$ , we call the decomposition *orthogonal*.

By analogy with the traditional terminology for ruled surfaces and cylinders in 3D we refer to  $i : \mathcal{D} \rightarrow D$ , where  $D = i(\mathcal{D})$ , as a *directrix* and to  $\vec{D} = D - D$  as the *linear directrix* of  $i : \mathcal{M} \rightarrow \mathbb{R}^n$ . Similarly, we refer to  $i : \mathcal{G} \rightarrow G$ , where  $G = i(\mathcal{G})$ , as a *generatrix* of  $i : \mathcal{M} \rightarrow \mathbb{R}^n$ . Since the convex geometry of an (open) hemisphere of  $\mathbb{S}^n$  is the same as that of  $\mathbb{R}^n$ , we will also use these terms on hemisphere (see Fig. 2).

By the Jonker-Norman theorem  $\mathcal{D}$  can be chosen so that it passes through a point of strict convexity of  $i|_{\mathcal{G}}$ , in which case we call it an *exposed directrix*. Note that such a directrix is *never interior* to any flat of *higher dimension* contained in  $i : \mathcal{M} \rightarrow M = i(\mathcal{M})$ . When needed we refer to  $D$  as the geometric directrix and to  $\mathcal{D}$  as an abstract directrix, etc. In Figure 1, which shows a piece of infinite cylindrical surface (it is embedded, so  $\mathcal{M} = M$ ), the line  $\mathbf{l}$  is a directrix and the 4-gone  $(abcd)$  is a generatrix. There are exactly 4 exposed directrices and  $a, b, c, d$  are the only points of strict convexity for the section of the surface that is shown on the picture.

We call a connected submanifold  $\mathcal{S}$  of a topological space  $\mathcal{T}$  *flat* with respect to a realization map  $r : \mathcal{T} \rightarrow \mathbb{X}^n$  if  $r : \mathcal{S} \rightarrow r(\mathcal{S})$  is a homeomorphism onto a subspace of  $\mathbb{X}^n$  of the same dimension as  $\mathcal{S}$ ; in this case we call  $r(\mathcal{S})$  a *flat* contained in  $(\mathcal{T}, r)$ . We will need the following corollary of Theorem 9.

**Corollary 10** *In the context of Jonker-Norman theorem any flat containing an exposed point of  $i|_{\mathcal{G}}$  is contained in the exposed directrix through this point.*



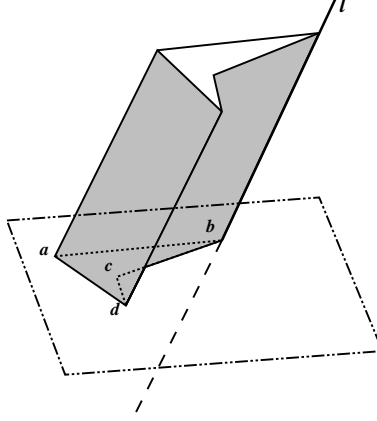


Figure 1: The product of a non-convex 4-gone and a line is locally convex, but not globally convex

The spherical convexity criterion, Theorem 1, is a direct consequence of Theorem 11 and Theorem 13; the former deals with the case where a point of strict convexity is absent and the latter deals with the case when it exists. The idea of proof of Theorem 11 is to apply Jonker-Norman's theorem locally, i.e. for a finite number of *open* hemispheres covering  $\mathbb{S}^n$ . The hypersurface, considered over each such hemisphere has a number (possibly 0) of connected components, each of which having a unique orthogonal Jonker-Norman decomposition (since the affine geometry of a hemisphere is essentially equivalent to the geometry of  $\mathbb{R}^n$ ). Among all such connected pieces of  $(\mathcal{M}, i)$  lying in different hemispheres we pick one that has the exposed directrix of minimal dimension. The Jonker-Norman decomposition is so “rigid” that whenever an exposed directrix continues from a hemisphere  $H$  to a hemisphere  $H'$  ( $H \cap H' \neq \emptyset$ ), the Jonker-Norman decompositions on  $H \cap H'$ , that are inherited from  $H$  and  $H'$ , must agree. As a result we get an analog of Jonker-Norman's theorem for the sphere. Theorem 13 is proven by a combination of topological considerations and a metric (perturbation type) argument reducing the problem to the Euclidean one.

**Theorem 11** *Let  $i : \mathcal{M} \rightarrow \mathbb{S}^n$  ( $n \geq 3$ ) be a complete locally-convex immersion of a connected  $(n - 1)$ -manifold  $\mathcal{M}$  without points of strict convexity. Then  $i(\mathcal{M}) = \mathbb{S}^n \cap \partial K$ , where  $K$  is a convex cone in  $\mathbb{R}^{n+1}$  containing the origin.*

For a hemisphere  $H \subset \mathbb{S}^n \subset \mathbb{R}^{n+1}$  we denote by  $c_H$  the central spherical projection map (see Figure 2) from  $H$  onto the tangent  $n$ -plane  $\mathbf{T}_H$  to  $\mathbb{S}^n$  at the center of  $H$  (when we find it convenient to index the tangent space and the projection map by the center  $\mathbf{p}$  of  $H$  we write  $\mathbf{T}_{\mathbf{p}}$  and  $c_{\mathbf{p}}$  instead of  $\mathbf{T}_H$  and  $c_H$ ).

$\mathbf{T}_H$  is an *affine* real  $n$ -space; when we need to treat it as a *linear* space (i.e.  $\overrightarrow{\mathbf{T}}_H = \mathbf{T}_H - \mathbf{T}_H$ ), we identify the origin of  $\overrightarrow{\mathbf{T}}_H$  with the center of  $H$ . First, let us make the following trivial observation.

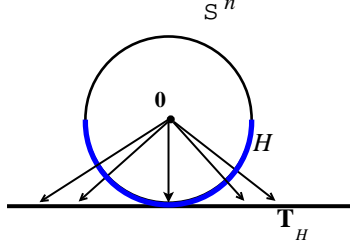


Figure 2: Central spherical projection map from hemisphere  $H$  to  $\mathbf{T}_H$ .

**Lemma 12** *Let  $\mathbf{p}$  be an extreme point of a convex set  $B \subset \mathbb{S}^n$ . Then every neighborhood of  $\mathbf{p}$  has an exposed point of  $B$ . In particular, if  $\mathbf{p}$  is not exposed itself, there are infinitely many exposed points on  $\partial B$  arbitrarily close to  $\mathbf{p}$ .*

**Proof.** This lemma is essentially a restatement for spherical spaces of a well-known theorem of Straszewicz on convex sets in  $\mathbb{R}^n$  (Rockafellar, p.167). Since our lemma is entirely of local nature, the proof in Rockafellar (1997) applies without changes.

Alternatively, consider the tangent space  $\mathbf{T}_{\mathbf{p}}$  to  $\mathbb{S}^n \subset \mathbb{R}^{n+1}$  at  $\mathbf{p}$ . The central projection maps the hemisphere  $H$  centered at  $\mathbf{p}$  onto  $\mathbf{T}_{\mathbf{p}}$  and  $H \cap B$  onto a convex set  $B_{\mathbf{p}}$  in  $\mathbf{T}_{\mathbf{p}}$ . The Euclidean theorem can now be applied to  $\mathbf{p}$  as an extreme point of the convex set  $B_{\mathbf{p}}$  in  $\mathbf{T}_{\mathbf{p}} \cong \mathbb{R}^n$ . The property of a point to be extreme or exposed is preserved under the central projection and its inverse. ■

**Proof of Theorem 11.** If there is  $x \in \mathcal{M}$  such that  $i(x)$  is extreme for some convex witness at  $x$ , then, by Lemma 12, there is an exposed point at every neighborhood of  $x$ . Since an exposed point of a convex body is the same as a point of strict convexity of its surface,  $(\mathcal{M}, i)$  is strictly convex in at least one point, which is impossible. Thus, for each  $x \in \mathcal{M}$  the image  $i(x)$  is an interior point of a segment on  $M \triangleq i(\mathcal{M})$ .

For an open hemisphere  $H$  let  $\mathcal{S}H$  denote the set of all maximal connected submanifolds of  $i^{-1}(H)$  whose  $i$ -images lie in  $H$ . When  $\mathcal{S}H \neq \emptyset$  each  $\mathcal{S} \in \mathcal{S}H$  is an  $(n-1)$ -submanifold of  $\mathcal{M}$  and  $c_H \circ i : \mathcal{S} \rightarrow \mathbf{T}_H$  is a complete locally-convex immersion (e.g. by Lemmas 5 and 4).

By Jonker-Norman's theorem  $c_H \circ i : \mathcal{S} \rightarrow \mathbf{T}_H$  has a *locally-convex direct decomposition*  $\mathcal{S} = \mathcal{G} \times \mathcal{L}$ , where  $c_H \circ i|_{\mathcal{G}}$  is a locally-convex immersion of a compact connected  $g$ -submanifold  $\mathcal{G}$  and  $c_H \circ i : \mathcal{L} \rightarrow L$  is a homeomorphism from a  $d$ -submanifold  $\mathcal{L} \subset \mathcal{S}$  onto a  $d$ -subspace of  $\mathbf{T}_H$  ( $n-1 = d+g$ ). Denote by  $\vec{L}(\mathcal{S})$  the linear space  $L - L$ .

Let us pick  $\mathcal{G}$  so that  $G \perp L$  where  $G \triangleq c_H \circ i(\mathcal{G})$ . Then  $c_H \circ i|_{\mathcal{S}}$  is the *orthogonal direct product* of the generatrix  $c_H \circ i|_{\mathcal{G}}$  and the directrix  $c_H \circ i|_{\mathcal{D}}$ . On the hemisphere  $H$  this decomposition corresponds to the orthogonal locally-convex split of  $i|_{\mathcal{S}}$  into a hemispherical generatrix  $i : \mathcal{G} \rightarrow c_H^{-1}G$  and a hemispherical directrix  $i : \mathcal{D} \rightarrow c_H^{-1}L$ .

Let  $\mathfrak{H}$  be a finite covering of  $\mathbb{S}^n$  by *open hemispheres*. Since  $i$  does not have points of strict convexity,  $i(\mathcal{M})$  is not completely covered by any single hemisphere. We will use  $\mathcal{S}_H$

for an element of  $\mathcal{S}H$  – subindex  $H$  only indicates that  $\mathcal{S}_H$  was chosen from  $\mathcal{S}H$ . Likewise, once  $\mathcal{S}_H$  is fixed, we may use  $L_{\mathcal{S}_H}$  and  $\mathcal{G}_{\mathcal{S}_H}$ , etc. to indicate that  $L_{\mathcal{S}_H}$  and  $\mathcal{G}_{\mathcal{S}_H}$  are obtained from the direct decomposition of  $c_H \circ i|_{\mathcal{S}_H}$ .

Suppose  $U = i(\mathcal{U})$  is a convex hypersurface for some connected submanifold  $\mathcal{U} \subset \mathcal{S}$ . Let  $H, H' \in \mathfrak{H}$ , and  $H \cap H' \cap U \neq \emptyset$ . Then there is a unique  $\mathcal{S}_{H'} \in \mathcal{S}H'$  such that  $\mathcal{S}_{H'}$  contains  $i^{-1}H' \cap \mathcal{U}$ . We will refer to this fact by saying that *whenever a convex subsurface of  $i : \mathcal{S}_H \rightarrow H$  protrudes into  $H'$ , the surface  $i : \mathcal{S}_H \rightarrow H$  extends uniquely into  $H' \cup H$  along  $U$*  (or, in other words, the map  $i|_{\mathcal{S}_H}$  extends uniquely over  $i^{-1}H'$  along  $\mathcal{U} \cap i^{-1}H'$ ). In this context  $\mathcal{S}_{H'} \cup \mathcal{S}_H$  is called the *extension* of  $\mathcal{S}_H$  and  $\mathcal{S}_{H'}$  is called an *adjoint* to  $\mathcal{S}_H$ .

Among the elements of  $\mathfrak{H}$  that overlap with  $i(\mathcal{M})$ , let  $H_0$  be one where we can pick  $\mathcal{S}_{H_0} \in \mathcal{S}H_0$  so that  $d \triangleq \dim \overrightarrow{L}(\mathcal{S}_{H_0}) \leq \dim \overrightarrow{L}(\mathcal{S}_H)$  for all  $H$  that overlap with  $i(\mathcal{M})$  and each possible choice of  $\mathcal{S}_H \in \mathcal{S}H$ ; let  $i : \mathcal{D}_0 \rightarrow D_0 = i(\mathcal{D}_0)$  be an exposed hemispherical directrix for  $\mathcal{S}_{H_0}$ .

If  $d = 0$ , then  $\mathcal{S} = \mathcal{G}$ , where  $c_H \circ i : \mathcal{G} \rightarrow \mathbf{T}_H$  has a point of strict convexity, which contradicts to our assumption about  $i$ .

If  $d = n - 1$ , then  $\text{aff } D_0$  is an  $(n - 1)$ -hemisphere of  $H_0$  and  $\mathcal{S}_{H_0} = \mathcal{D}_0$ . We know that whenever a convex subsurface of  $i : \mathcal{S}_{H_0} \rightarrow H_0$  protrudes into  $H$ , the surface  $i : \mathcal{S}_{H_0} \rightarrow H_0$  extends uniquely into  $H$  along this subsurface, which implies that  $i|_{\mathcal{D}_0}$  can be extended to all hemispheres overlapping with  $\text{aff } D_0$ . Since  $\mathcal{M}$  is a connected  $(n - 1)$ -manifold,  $i : \mathcal{M} \rightarrow \mathbb{S}^n$  is an immersion onto  $\text{aff } \mathcal{D}_0$ , which is, by the covering mapping (see Seifert & Threlfall) theorem, a homeomorphism if  $n > 2$ .

Let  $1 \leq d \leq n - 2$ . Let  $H \cap D_0 \neq \emptyset$  for some  $H \in \mathfrak{H}$ . We know  $i|_{\mathcal{S}_{H_0}}$  extends in a unique way along  $D_0 \cap H$  into  $H_0 \cup H$ : denote the adjoint element of  $\mathcal{S}H$  by  $\mathcal{S}_H$ . Obviously,  $\text{aff } D_0 \cap H$  is an extreme (geometric) hemispherical directrix for  $i|_{\mathcal{S}_H}$ . As  $D_0$  is completely covered by elements of  $\mathfrak{H}$ , the submanifold  $\mathcal{D}_0$  extends to a connected component of  $i^{-1}(\text{aff } D_0)$  inside of  $\mathcal{M}$ . Set  $D \triangleq \text{aff } D_0$  and let  $\mathcal{D}$  be a maximal connected  $d$ -submanifold of  $\mathcal{M}$  such that  $D = i(\mathcal{D})$ . Since  $i$  is a complete immersion, it is proper (preimages of compact sets are compact) and  $\mathcal{D}$  is compact. Thus, the preimage of any  $\mathbf{p} \in D$  under  $i|_{\mathcal{D}}$  is a finite set of the size that does not depend on  $\mathbf{p}$ .

Without loss of generality we assume that  $D$  is completely covered by hemispheres  $H_0, \dots, H_N \in \mathfrak{H}$ , all centered at points of  $D$ ; denote this subset of  $\mathfrak{H}$  by  $\mathfrak{H}_D$ . Let  $\mathcal{S}$  be a connected component of  $i^{-1}(\cup_{j=0}^N H_j)$  that contains  $\mathcal{D}$ , i.e.  $\mathcal{S}$  is the unique maximal extension of  $\mathcal{S}_{H_0}$  into  $\cup_{j=0}^N H_j$  along  $D$ . For  $H_k, H_l \in \mathfrak{H}_D$ , where  $H_k \cap H_l \neq \emptyset$ , on each connected component of  $i^{-1}(H_k \cap H_l) \cap \mathcal{S}$  the locally-convex orthogonal decompositions of  $i|_{\mathcal{S}}$ , which are induced by the restrictions of  $i$  to  $\mathcal{S} \cap i^{-1}H_k$  and  $\mathcal{S} \cap i^{-1}H_l$  respectively, agree; this follows directly from Jonker-Norman's theorem. Furthermore, since both  $H_k$  and  $H_l$  are centered at  $D$ , the generatrices in these two locally-convex *orthogonal* decompositions are all isometric to each other – the rotational subgroup  $\text{Iso}^+(D)$  of  $\text{Iso}(D)$  is transitive on them.

Thus, we have a *locally-convex orthogonal fibration* of the immersion  $i|_{\mathcal{S}}$ : namely, we

have a continuous map  $\pi : \mathcal{S} \rightarrow \mathcal{D}$ , which sends each (topological) generatrix into its base point on  $\mathcal{D}$  and for each  $x \in \mathcal{D}$  there is a neighborhood  $\mathcal{U}_x \subset \mathcal{D}$  such that  $\pi^{-1}(\mathcal{U}_x)$  is the direct orthogonal product of  $\mathcal{U}_x$  and a fiber  $\mathcal{G}_x$  over  $x$ , such that  $i|_{\mathcal{G}_x}$  is a locally-convex immersion into  $D_x^\perp$ , where the latter is the orthogonal complement of  $D$  through  $x$ . Inside of each  $H \in \mathfrak{H}_D$  the fibers (i.e. generatrices) are isometric; moreover, as we just noticed above, the fibers from different  $H$ 's are also isometric. Thus, the constructed locally-convex fibration of the immersion  $i|_{\mathcal{S}}$  is, in fact, a direct product decomposition, i.e.  $\mathcal{S} = \mathcal{D} \times \mathcal{G}$ , where  $\dim \mathcal{G} = n - 1 - d$  and  $i|_{\mathcal{G}}$  is a locally convex immersion into a  $(n - d)$ -hemisphere perpendicular to  $D$ .

Set  $D^* \triangleq \mathbb{S}^n \cap (\text{cone } D)^\perp$ , where  $\text{cone } D$  is the cone with apex at  $\mathbf{0}$  over  $D$ .  $D^*$  consist of all points of  $\mathbb{S}^n$  that are not covered by the elements of  $\mathfrak{H}_D$ . We claim that all generatrices from the orthogonal decomposition of  $i|_{\mathcal{S}}$  “reach to  $D^*$ ”, i.e. for each generatrix  $\mathcal{G} \subset \mathcal{S}$  and any neighborhood of  $D^*$  there is  $p \in \mathcal{G}$  such that  $i(p)$  lies in this neighborhood. By contradiction: let  $p \in \mathcal{G} \subset \mathcal{S}$  be such that the distance  $\rho > 0$  between  $i(p)$  and  $D^*$  is equal to the distance between  $i(\overline{\mathcal{G}})$  and  $D^*$ . Since all generatrices are isometric with respect to  $Iso^+(D)$ ,  $\mathcal{S}$  contains a submanifold mapped onto the orbit of  $p$  under the induced action of  $Iso^+(D)$  on  $\mathcal{S}$ , but does not contain any points mapped by  $i$  to spherical points at the distance smaller than  $\rho$  from  $D^*$ . Then  $i$  is not locally-convex at all points of this submanifold. Thus, all generatrices of the orthogonal decomposition of  $i|_{\mathcal{S}}$  “reach to  $D^*$ ”.

Since  $\mathcal{M}$  is compact, for any  $p \in \overline{\mathcal{S}} \setminus \mathcal{S}$  we have  $i(p) \in D^* \cong \mathbb{S}^{n-d-1}$ . Then  $i(p)$  belongs to the closure of each generatrix from the orthogonal fibration of  $i|_{\mathcal{S}}$  with base  $i|_{\mathcal{D}}$ . Let  $H(\mathbf{p})$  be the hemisphere centered at  $\mathbf{p} = i(p)$ . Under  $c_H(\mathbf{p}) : H_p \rightarrow \mathbf{T}_H(\mathbf{p})$  the points of  $D$  correspond to rays emanating from the origin of  $\mathbf{T}_H(\mathbf{p})$ , or, in other words, in “the world of”  $\mathbf{T}_p$  the spherical subspace  $D$  corresponds to a “ $d$ -sphere at infinity”, which we denote by  $D(\mathbf{T}_H(\mathbf{p}))$ . Thus, the isometry group of the surface  $(\overline{\mathcal{S}}, c_H(\mathbf{p}) \circ i)$  includes all linear isometries (in particular, rotations about  $\mathbf{p}$ ) that preserve the sphere  $D(\mathbf{T}_H(\mathbf{p}))$  at infinity. We know that  $\mathbf{p} = i(p) = c_H(\mathbf{p}) \circ i(p)$  must belong to the interior of a segment  $I = c_H(\mathbf{p}) \circ i(\mathcal{J})$  on this surface. Any isometry of  $(\overline{\mathcal{S}}, c_H(\mathbf{p}) \circ i)$  will map  $I$  to another line segment. Because of local convexity at  $p$ , the isometries preserving the sphere  $D(\mathbf{T}_H(\mathbf{p}))$  at infinity belong to the isometry group of a supporting hyperplane at  $\mathbf{p} = c_H(\mathbf{p}) \circ i(p)$ . Since  $I$  must be in this hyperplane,  $i(p)$  is interior to a  $(d + 1)$ -flat of  $\overline{\mathcal{S}}$ . We will have to deal separately with the cases  $d = n - 2$  and  $d \leq n - 3$ .

**Case:**  $d = n - 2$ .  $\overline{\mathcal{S}} \setminus \mathcal{S} \cong \mathbb{S}^0$ . Then  $i(p)$  is interior to an  $(n - 1)$ -flat  $i : \mathcal{F} \rightarrow F$  of  $(\overline{\mathcal{S}}, i)$ . We will show that  $i|_{H(\mathbf{p})}$  is a homeomorphism onto an open  $(n - 1)$ -hemisphere of  $\mathbb{S}^n$ . Consider a directed geodesic in  $\text{aff } F$  with source at  $i(p)$  that does not extend to  $D$  inside of  $(\overline{\mathcal{S}}, i)$ . Let  $\mathbf{b} = i(b)$  be a point where this geodesic first diverges with the surface  $(\overline{\mathcal{S}}, i)$ . Point  $b$  belongs to a unique fiber from the orthogonal fibration of  $(\overline{\mathcal{S}}, i)$  with base  $(\mathcal{D}, i)$ . The isometry group of  $D$  is transitive on the fibers. Thus, all directed geodesics through  $i(p)$  that lie in  $(\overline{\mathcal{S}}, i)$  diverge with the  $(n - 1)$ -flat  $F$  at the same distance from  $i(p)$ ; hence,  $\mathcal{F}$  is an  $(n - 1)$ -ball (in the  $i$ -distance) centered at  $p$ . But then all points of  $\partial \mathcal{F}$  are extreme points for

$(\bar{\mathcal{S}}, i)$ , which contradicts our assumptions. Thus  $F$  is an  $(n - 1)$ -hemisphere centered at  $i(p)$  and bounded by  $D$ . The same argument is applied to the other point of  $\bar{\mathcal{S}} \setminus \mathcal{S} \cong \mathbb{S}^0$ . Thus,  $i$  is a homeomorphism onto the surface made of two  $(n - 1)$ -hemispheres glued together at their common  $(n - 2)$ -dimensional boundary  $D$ .

**Case:**  $1 \leq d < n - 2$ . The central projection of a generatrix  $i|_{\mathcal{G}}$  (where  $G = i(\mathcal{G})$  and  $G \perp D$ ) with a base point  $i(x) = \mathbf{x} \in D$  onto its tangent subspace  $\mathbf{T}_G \subset \mathbf{T}_{\mathbf{x}}$  at  $\mathbf{x} \in D$  is a locally-convex unbounded complete surface  $c_{\mathbf{x}} \circ i|_{\mathcal{G}}$ . Since the topological dimension of the generatrix is larger than one, by Jonker-Norman's theorem it is an embedded convex surface in  $\mathbf{T}_G$  and  $\mathbf{x}$  is a (geometric) point of strict convexity for this surface. Thus,  $i|_{\mathcal{G}}$  is a convex surface on  $H_{\mathbf{x}}$ . We need to understand the geometry of  $i|_{\mathcal{G}}$  at infinity, i.e. at  $\partial H_{\mathbf{x}} \cap D^*$ . Because of strict convexity at  $\mathbf{x} = i(x)$ ,  $\partial H_{\mathbf{x}} \cap i(\bar{\mathcal{G}})$  is the boundary of a strictly convex compact set in  $\partial H_{\mathbf{x}}$ . Suppose  $z \in \mathcal{G}$  is a point of strict convexity of  $i|_{\mathcal{G}}$  and  $z \neq x$ . Then there is an exposed hemispherical directrix  $i : \mathcal{D}_z \rightarrow H_p$  through  $z$ , distinct from  $\mathcal{D} \cap i^{-1}H_{\mathbf{x}}$ . Since  $i(\mathcal{D})$  and  $i(\mathcal{D}_z)$  are parallel in  $H_{\mathbf{x}}$ , they “intersect at infinity” (i.e. on  $\partial H_{\mathbf{x}}$ ) over a common  $(d - 1)$ -sphere. Thus, we have *two distinct exposed directrices* through the same point of  $\mathcal{M}$ . This is impossible by Corollary 10. Thus,  $i|_{\mathcal{G}}$  has a unique point of strict convexity.

$c_{\mathbf{x}} \circ i : \mathcal{G} \rightarrow \mathbf{T}_G$  is an embedded unbounded complete convex hypersurface with a unique point of strict convexity. Let us apply a projective transformation that sends  $\mathbf{T}_G$  to another subspace  $\mathbf{P}$  of the same dimension in  $\mathbb{R}^{n+1}$  in such a way that the point  $\mathbf{x}$  of  $G \subset \mathbf{T}_G$  is mapped to a point at infinity of  $\mathbf{P}$ . This will give us an embedded unbounded complete convex hypersurface in  $\mathbf{P}$  without points of strict convexity. By Jonker-Norman this hypersurface in  $\mathbf{P}$  is the product of a line  $L$  in  $\mathbf{P}$  and a compact convex hypersurface in a subspace of  $\mathbf{P}$ , which is complimentary to  $L$ . Thus,  $c_{\mathbf{x}} \circ i(\mathcal{G})$  is the boundary of a cone with apex at  $\mathbf{x}$  over a convex compact set “on the sphere at infinity of  $\mathbf{T}_G$ ”. Hence,  $c_{\mathbf{x}} \circ i|_{\bar{\mathcal{G}}}$  is an immersion onto a cone over a convex compact surface of topological dimension  $(n - 1) - d - 1 = n - d - 2$  on  $D^*$ . Since all generatrices are isometric to  $i|_{\bar{\mathcal{G}}}$  with respect to the action of  $Iso^+(D)$ , we conclude that  $\mathcal{M}$  contains a closed  $(n - 1)$ -submanifold  $\bar{\mathcal{S}}$  (without boundary). Since  $\mathcal{M}$  is connected,  $\bar{\mathcal{S}} = \mathcal{M}$ .

**Remark on injectivity:** the proof does not imply that  $i$  is an embedding. When there are no points of strict convexity, non-injectivity is possible if and only if  $d = \dim \mathcal{D} = 1$ . When  $d > 1$  the classical covering mapping theorem (see e.g. Seifert-Threlfall) implies that the map is one-to-one. ■

When a minimal geodesic between  $\mathbf{p}$  and  $\mathbf{q}$  is unique, we denote it by  $[\mathbf{p}, \mathbf{q}]$ ; we will also use  $[p, q]$ , where  $i(p) = \mathbf{p}$ ,  $i(q) = \mathbf{q}$ , to refer to a curve in  $\mathcal{M}$  that is mapped homeomorphically onto  $[\mathbf{p}, \mathbf{q}]$ .

**Theorem 13** *Let  $\mathcal{M}$  be connected and let  $i : \mathcal{M} \rightarrow \mathbb{X}^n$  be complete, locally-convex and, also, strictly locally-convex at  $o \in \mathcal{M}$ . Then  $i : \mathcal{M} \rightarrow \mathbb{X}^n$  is a convex embedding.*

**Proof.** If  $\mathbf{H}_o \subset \mathbb{S}^n$  is a supporting hyperplane at  $i(o)$ , then let us denote the *open*

hemisphere defined by  $\mathbf{H}_o$  that contains the image of a small neighborhood of  $o$  by  $\mathbf{H}_o^+$ ; the other open hemisphere is then denoted by  $\mathbf{H}_o^-$ . If  $\mathcal{N}$  is a neighborhood of  $x$  we denote by  $\dot{\mathcal{N}}$  its punctured version, i.e.  $\mathcal{N} \setminus x$ .

Let  $\mathcal{S}$  be a maximal connected  $(n-1)$ -submanifold of  $\mathcal{M}$  such that  $o \in \mathcal{S}$  and  $i(\dot{\mathcal{S}}) \subset \mathbf{H}_o^+$ . Suppose that there is no  $x \in \bar{\mathcal{S}} \setminus o$  with  $i(x) \in \mathbf{H}_o$ . Then the distance between  $\bar{\mathcal{S}} \setminus \mathcal{N}_o$  (where  $\mathcal{N}_o$  is a small neighborhood of  $o$ ) and  $\mathbf{H}_o$  is strictly positive. This means we can perturb  $\mathbf{H}_o$  so that  $i(\bar{\mathcal{C}}_o)$  is in  $\tilde{\mathbf{H}}^+$ , where  $\tilde{\mathbf{H}}$  is a perturbed version of  $\mathbf{H}_o$ . Let  $c$  be the central projection on  $\mathbf{T}_{\tilde{\mathbf{H}}^+}$  from  $\tilde{\mathbf{H}}^+$ . Clearly,  $c \circ i|_{\mathcal{S}}$  satisfies the conditions of Van Heijenoort's theorem; hence,  $c \circ i|_{\mathcal{S}}$  is a convex embedding. Since  $\mathcal{M}$  is connected,  $\mathcal{S} = \mathcal{M}$ .

Let now  $p \in \bar{\mathcal{S}} \setminus o$  be such that  $i(p) \in \mathbf{H}_o$ . If  $i(p) \neq i(o)^{\text{op}}$  ( $i(o)^{\text{op}}$  stands for the opposite of  $i(o)$ ), then the minimal geodesic joining  $i(o)$  and  $i(p)$  is unique and lies in  $\mathbf{H}_o$ . Let  $\{i : [o, x_m] \rightarrow [i(o), i(x_m)]\}_{m \in \mathbb{N}}$ , with  $[o, x_m] \subset \mathcal{M}$ , be a sequence of minimal geodesics that converges to  $i : [o, p] \rightarrow [i(o), i(p)]$ . The geodesics in this sequence lie arbitrarily close to  $\mathbf{H}_o$ . Since  $(\mathcal{M}, i)$  is strictly convex at  $o$ , we find that  $p = o$ , which contradicts to the choice of  $p$ . Thus, the points of  $\bar{\mathcal{S}} \setminus o$  that are mapped to  $\mathbf{H}_o$  are mapped to  $i(o)^{\text{op}}$ . Since  $i$  is a proper immersion, the preimage of  $i(o)^{\text{op}}$  in  $\mathcal{M}$  is finite. Hence, the preimage of  $i(o)^{\text{op}}$  in  $\partial\mathcal{S} = \bar{\mathcal{S}} \setminus \mathcal{S}$  is finite. Clearly,  $c \circ i|_{\mathcal{S}}$  satisfies the conditions of Jonker-Norman's theorem. Since  $i$  is strictly convex at  $o$ ,  $c \circ i|_{\mathcal{S}}$  must be a convex unbounded *embedding* onto a cylinder in  $\mathbf{T}_{\mathbf{H}_o^+}$ . The directrix must be 1-dimensional, for the cylinder has only two points at infinity,  $i(o)$  and  $i(o)^{\text{op}}$  (see Fig. 3). Thus,  $\mathcal{S}$  contains a punctured neighborhood of  $p$  homeomorphic to an  $(n-1)$ -ball. If we add  $p$  to  $\mathcal{S}$  we get a compact connected  $(n-1)$ -submanifold of  $\mathcal{M}$  (without boundary). Since  $\mathcal{M}$  is connected,  $\bar{\mathcal{S}} = \mathcal{M}$ . Thus,  $i : \mathcal{M} \rightarrow \mathbb{X}^n$  is a convex embedding. ■

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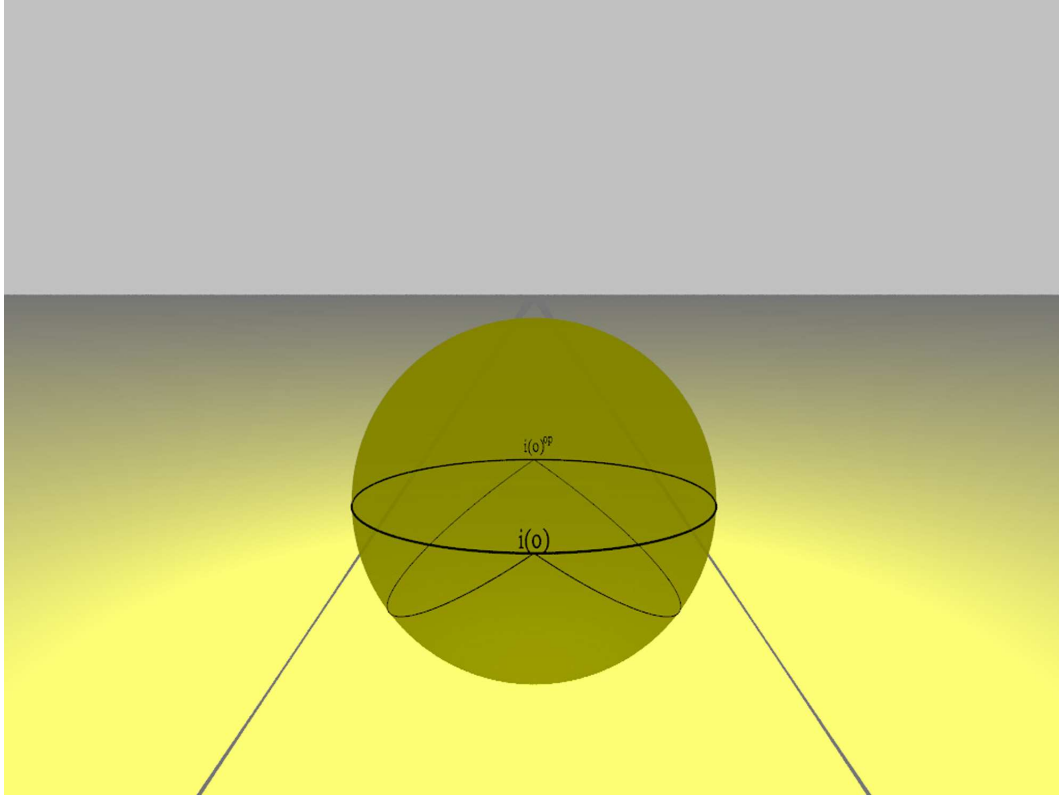


Figure 3: The surface  $i|_{\bar{S}}$  has only two points on  $\mathbf{H}_o$ :  $i(o)$  and  $i(o)^{op}$

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